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[64 FR 2442, Jan. 14, 1999]

PART 1253—FORMS

Subpart 1253.2—Prescription of Forms

Sec.

1253.204 Administrative matters.

1253.222 Application of labor laws to Government acquisitions.1253.227-70 Conveyance of invention rights acquired by the Government.

1253.245-70 Report of Government property.

1253.204

Subpart 1253.3—Illustration of Forms

1253.303 Agency forms.

APPENDIX TO SUBPART 1253.3

CONTRACTOR'S RELEASE.

EMPLOYEE CLAIM FOR WAGE RESTITUTION.

CONTRACTOR REPORT OF GOVERNMENT PROPERTY.

CONTRACTOR'S ASSIGNMENT OF REFUNDS, REBATES, CREDITS, AND OTHER AMOUNTS.

CUMULATIVE CLAIM AND RECONCILIATION

STATEMENT.

REPORT OF INVENTIONS AND SUBCONTRACTS.

AUTHORITY: 5 U.S.C. 301; 41 U.S.C. 418(b); 48 CFR 3.1.

SOURCE: 59 FR 40299, Aug. 8, 1994, unless otherwise noted.

Subpart 1253.2—Prescription of Forms

1253.204 Administrative matters.

The following forms are prescribed for use in the closeout of applicable contracts, as specified in (TAR) 48 CFR 1204.804-570:

(a) Form DOT F 4220.4, Contractor's Release. (See (TAR) 48 CFR 1204.804-570.) Form DOT F 4220.4 is authorized for local reproduction and a copy is furnished for this purpose in Part 1253 of the loose-leaf edition of the (TAR) 48 CFR chapter 12.

(b) Form DOT F 4220.45, Contractor's Assignment of Refunds, Rebates, Credits, and Other Amounts. (See (TAR) 48 CFR 1204.804–570.) Form DOT F 4220.45 is authorized for local reproduction and a copy is furnished for this purpose in Part 1253 of the loose-leaf edition of the (TAR) 48 CFR chapter 12.

(c) Form DOT F 4220.46, Cumulative Claim and Reconciliation Statement. (See (TAR) 48 CFR 1204.804-570.) Form DOT F 4220.46 is authorized for local reproduction and a copy is furnished for this purpose in Part 1253 of the loose-leaf edition of the (TAR) 48 CFR chapter 12.

(d) DD Form 882, Report of Inventions and Subcontracts. (See (TAR) 48 CFR 1204.804-570.) DD Form 882 is authorized for local reproduction and a copy is furnished for this purpose in Part 1253 of the loose-leaf edition of the (TAR) 48 CFR chapter 12.

1253.222 Application of labor laws to Government acquisitions.

The following form is prescribed for use in connection with the application of labor laws, as specified in (TAR) 48 CFR 1222.406-9:

Form DOT F 4220.7, Employee Claim for Wage Restitution. (See (TAR) 48 CFR 1222.406-9(c)(1).) Form DOT F 4220.7 is authorized for local reproduction and a copy is furnished for this purpose in Part 1253 of the loose-leaf edition of the (TAR) 48 CFR chapter 12.

1253.227-70 Conveyance of invention rights acquired by the Government.

The following form is prescribed for including a means for contractors to report inventions made in the course of contract performance, as specified in 1227.305-4:

DD Form 882, Report of Inventions and Subcontracts. (See (TAR) 48 CFR 1227.305-4.) DD Form 882 is authorized for local reproduction and a copy is furnished for this purpose in Part 1253 of the loose-leaf edition of the (TAR) 48 CFR chapter 12.

1253.245-70 Report of Government property.

The following form is prescribed for use by contractors to report Government property, as specified in (TAR) 48 CFR 1245.505–14:

Form DOT F 4220.43, Contractor Report of Government Property. (See (TAR) 48 CFR 1245.505-14.) Form DOT F 4220.43 is authorized for local reproduction and a copy is furnished for this purpose in Part 1253 of the loose-leaf edition of the (TAR) 48 CFR chapter 12.

Subpart 1253.3—Illustration of Forms

1253.303 Agency forms.

This subpart contains illustrations of DOT and other agency forms specified by the TAR for use in DOT acquisitions.

APPENDIX TO SUBPART 1253.3

DEPARTMENT O CONTRACT	F TRANSPORTATION OR'S RELEASE		OMB Control No. 2105-0517 Expiration Date: 4/30/97
Public reporting burden for this collection of information is estimated	to average 1 hour per response, including the ti	me for reviewing instructions se	arching existing data sources, gathering and maintaining the data
needed, and completing and reviewing the collection of information. Secretariat (VRS), Office of Federal Acquisition and Regulatory Police			f information, including suggestions for reducing this burden, to the FAR Paperwork Reduction Project (2105-0517), Washington, DC 20503.
CONTRACTOR (Name and Address)			ENTER SUM OF TOTAL OF AMOUNTS PAID AND PAYABLE
CONTRACT NO.			s
Pursuant to the terms of the above numbered Contractor, or its assignees, the Contractor, u Government), does remise, release, and discha claims, and demands whatsoever under or ari	pon payment of the said sum by arge the Government, its officers	the UNITED STAT , agents, and employ	ES OF AMERICA (hereinafter called the
Specified claims in stated amounts or in follows: (or state "None")	n estimated amounts where the a	amounts are not susc	eptible of exact statement by the Contractor, as
	ot known to the Contractor on t	he date of the executi	ne Contractor to third parties arising out of the on of this release and of which the Contractor ct; and
liability) including reasonable expenses in The Contractor agrees, in connection with pat provisions of the said contract, provisions of t	cidental thereto, incurred by the tent matters and with claims wh he said contract, including with	Contractor under a	ndemnification of the Government against patent ny provisions of the said contract relating to patents. as set forth above, that it will comply with all provisions relating to notification to the Contracting
Officer and relating to the defense or prosecu IN WITNESSES WHEREOF, this release has	,	of	19
WITNESSES	_	(Cont	ractor)
	ву		
	TITLE		
NOTE: In the case of a corporation, w	itnesses are not required but the	e below statement mu	ıst be completed.
L, am			eration named as Contractor in the foregoing
release; that who sig release was duly signed for and in behalf of sa			of said corporation; d is within the scope of its corporate powers.
(C	DRPORATE SEAL)		
Form DOT F 4220 4 (REV. 297) (EXCEL)	PREVIOUS EDITION O	BSOLETE	AUTHORIZED FOR LOCAL REPRODUCTION

48 CFR Ch. 12 (10-1-03 Edition)

AUTHORIZED FOR LOCAL REPRODUCTION

DEPARTMENT OF TRANSPERSE		OMB Control No. 2105-0517 Expiration Date: 4/30/97
Public reporting burden for this collection of information is estimated to sverag gathering and maintaining the data needed, and completing and reviewing the of information, including suggestions for reducing this burden, to the FAR Sec the Office of Management and Budget, Paperwork Reduction Project (2105-051	ge 1 hour per response, including the time for collection of information. Send comments re- retariat (VRS), Office of Faderal Acquisition at	reviewing instructions searching existing data sources, garding this burden estimate or any aspect of this collection
TO: The General Accounting Office Claims Division Washington, DC 20548	DATE OF C	CLAIM CLAIM EE'S FULL NAME
I hereby make claim for payment of unpaid wages due me	in the amount of \$	
performing work under the above number at	(Name of Contractor and/or Su	
as (job title)	during the period from	(month/day/year)
to(month/day/year)		
This claim constitutes the total amount claimed due and u	npaid for the period of employmer	nt indicated.
ADDRESS OF EMPLOYEE	SIGNATURE OF EMPLO	OVEE

PREVIOUS EDITION OBSOLETE

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Form DOT F 4220.43 (2/97) (EXCEL)

AUTHORIZED FOR LOCAL REPRODUCTION

	TRACTOR R				OMB Control No. 2105-05 Expiration Date: 4/30/97	517
Public reporting burden for this collection of information is sources, gathering and maintaining the data needed, and con this collection of information, including suggestions for redu D.C. 20405; and to the Office of Management and Budget, 8	npleting and reviewing the cing this burden, to the FA	collection of information R Secretariat (VRS), Of	 Send comments regard fice of Federal Acquisition 	ling this burden estimate (or any aspect of	
1. Contract Number:						
2. Report Period Ending:						
3. Contractor (Name and Address)			4. Contracting	g Office (Name an	d Address)	
5. Name and location of Government-Ow	ned, Contractor-Op	perated Plant (if a	pplicable)			
Any Government property located at a subcontractor(s) on an attached sheet		ant?Yes	No. If yes	, give the name ar	nd address of the	
7. Date contractor's property control sys	tem approved?			-		
8. Approved by whom?	Name of	Agency/Office				
9	Starting	Balance			Ending	Balance
Total						
Class	Property Acquisition Quantity Items Items Acquisition Quantity Class Cost (in acres Added Deleted Cost (in acres					
(See FAR 45.5) (in dollars) or units) in \$ in \$ (in dollars) or units)						
a. Land & Rights Therein		***************************************				
b. Other Real Property						100
c. Plant Equipment						
d. Special Test Equipment						
e. Special Tooling						
f. Materials in Stock (when total value exceeds \$50,000)						
NOTE: This report shall include all Gover the contractor or subcontractors). By sign from the contractor's records that are rec	nature hereon, the o	contractor's prop				d
10. Typed Name of Contractor Property	Administrator			11. Signature ar	nd Date	

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Pt. 1253, Subpt. 1253.3, App.

CONTRACTOR'S ASSIGNMENT OF REFUNDS, REBATES, CREDITS, AND OTHER AMOUNTS	OMB Control No.: 2105-0517 Expiration Date: 4/30/97
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this but estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the FAR Secretariat (VRS), Office of Federal Ac Regulatory Policy, GSA, Washington, D.C. 2040s; and to the Office of Management and Budget, Paperwork Reduction Project (2105-0517), Washington, D.C.	equisition and
Pursuant to the terms of Contract No and in consideration of the roof costs and payment of fee, as provided in the said contract and any assignment thereund (hereinafter called the Contractor) does hereby:	reimbursement er,
 Assign, transfer, set over the release to the UNITED STATES OF AMERICA (hereing Government), all right, title and interest to all refunds, rebates, credits or other amounts any interest thereon) arising out of the performance of the said contract, together with all action accrued or which may hereafter accrue thereunder. 	(including
2. Agree to take whatever action may be necessary to effect prompt collection of all refunctedits or other amounts (including any interest thereon) due or which may become due, a forward to the UNITED STATES TREASURER checks (made payable to the Treasurer States) for any proceeds so collected. The reasonable costs of any such action to effect col constitute allowable costs when approved by the Contracting Officer as stated in the said may be applied to reduce any amounts otherwise payable to the Government under the tet. 3. Agree to cooperate fully with the Government as to any claim or suit in connection with rebates, credits or other amounts due (including any interest thereon); to execute any proapplication, power of attorney or other papers in connection with; and to permit the Government it at any hearing, trial, or other proceeding arising out out of such claim or suit. IN WITNESS WHEREOF, this assignment has been executed this	and to promptly of the United llection shall contract and rms hereof. h refunds, test, pleading, ernment to
BY:(CONTRACTOR)	
By signature hereon, I,, declare that I am the	alf
(CORPORATE SEAL)	

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Form DOT F 4220.46 (REV. 2/97) (EXCEL)

AUTHORIZED FOR LOCAL REPRODUCTION

CUMULATIVE CLAIM AND RECONCILIATION STATEMENT	OMB Control No. 2105-0517
RECONCILIATION STATEMENT	Expiration Date: 4/30/97
Public reporting burden for this collection of information is estimated to average I hour per response, including the time for reviewing instructions searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this estimate or any other supect of this collection of information, including suggestions for reducing this burden, to the FAR Secretive (VRS), Office of Pederal A Regulatory Policy, GSA, Washington, D.C. 20405; and to the Office of Management and Budget, Paperwork Reduction Project (2185-9517), Washington, D.C.	equisition and
I. Name of Contractor	
2. Address of Contractor	
3. Contract No.	
4. Delivery/Task Order No.	
5. The total amount claimed under the above numbered contract, delivery order, or task number is as follows:	order
DV (T.)	
1 D1 (35 (1)	
c. Other Direct Costs	
d. Overhead	
e. G&A	
f. Subcontract Cost	
g. Total Costs (5a through 5f)\$	
h. Fixed Fee \$	
i. Total Amount Claimed \$	
6. Total amount due under the above numbered contract, delivery order, task order is as	follows:
a. Total Amount Claimed \$	
b. Total Amount Paid by the Government under	*
Voucher Nos thru \$	
c. Total Amount (if any) Withheld, Disallowed, etc. (as explained	
on the attached sheet)\$	
d. Total Amount Due\$	
	:
I,, as the	
(Full Name) (Title) of the above named contractor, declare that the above statements are correct in accordanthe records of the contractor.	ce with
(Signature)	
(Signature)	

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PREVIOUS EDITION OBSOLETE

	REPOR	REPORT OF INVENTIONS AND SUBCONTRACTS	ONTRACTS						Form Approved	
	(Pursuant to "Pater	(Pursuant to "Patent Rights" Contract Clause) (See Instructions on Reverse Side)	ctions on Revers	e Side)				_	Expires June 30, 1992	
Public appoints burder for the collection of information is stimuted to servings 1 hour per response, including the time for reviewing statiscials statistical gates assured as a serving and animating the data meeted, and completely assured the serving as a serving	tunated to average 1 hour per response, dring this burden estimate or any aspect hway, Suite 1204, Artington, VA 22202-43	ncluding the time for reviewing instructions of of this collection of information, includin 92, and to the Office of Management and Bu	s searching existing g suggestions for re idget, Paperwork Re	data sources, gatherir educing this burden, to eduction Project (0704-	ig and maintaining th Washington Headqu 8287), Washington, D	e data needed, and c laners Services, Direc C 20503.	ompleting and ctorate for			
11. NAME OF CONTRACTOR/SUBCONTRACTOR	C. CONTRACT NUMBER	23. NAME OF GOVERNMENT PRIME CONTRACTOR	tor		C CONTRACT NUMBER			TYPE OF REPORT (X one)	RT (X one) b Filet	
b. ADDRESS (NCLUDE ZP CODE)	d. AWARD DATE (YYMMDD)	8. ADDRESS (INCLUDE ZIP CODE)			d. AWARD DATE (YYMMDO)	loo		REPORTING PE	RIOD (YYMMDD)	
							1.12	70 FR		П
		SECTION I - SUB	SECTION SUBJECT INVENTIONS							
5. "SUBJECT INVENTIONS" REQUIRED TO BE REPORTED BY CONTRACTOR/SUBCONTRACTOR (If "Nowe", so subs)	SUBCONTRACTOR (if "None", so state)									
The state of the s	ø		•					٠	COMFIRMATORY	
NAME(S) DE INVERTIONIS) (LAST, FIRST, MI)		TITLE OF INVENTIONIS)		DISCLOSURE NO. PATENT APPLICATION	ICATION	PATENT	PATENT APPLICATIONS		ASSIGNMENT FORWARDED	٥
				SERIAL NO. OR PATENT NO.	80 OF	DATE STATES STATES	12, FORG	GP (d)	10 CONTRACTING OFFICER (1) YES (2) NO	α g
			-							
M. EMPLOYER OF INVENTOR(S) NOT EMPLOYED BY CONTRACTORIS UBCONTRACTOR	FRACTOR		L ELECTED FORFIGN C	, ELECTED FORFIGN COUNTRIES IN WHICH A PATENT APPLICATION WALL BE FILED	TENT APPLICATION WALL	1 86 FILED		1		
(1)(a) Name of inventor (Last First Mi)	(2)(a) Name of inventor (Last, First, MI)		1) Title of Invention				43) Foreign Countries of Patent Application	tries of Patent A	pplication	
(a) Name of Lingtoyer	It) harrie of Lmployer									
(c) Address of Employer (Include 2IP Cods)	(c) Address of Employer (include ZIP Code)									
		SECTION II - SUBCONTRACTS (Containing a "Parent Rights" clause)	containing a "Patent	Rights" clause)						П
6 \$UBCONTRACTS AWARDED BY CONTRACTOR/BUBCONTRACTOR (#*None*; so state)	re", to state)							ľ		
NAME OF SUBCONTRACTORIS)	ADDRESS (include ZIP Code)	SUBCONTRACT NO.(S)	DFAR "PATI	DFAR "PATENT RICHTS"	DESCR	DESCRIPTION OF WORK TO BE		. 3	MACONTRACT DATES (YMMEDO)	
			III CLAUSE NUMBER	(7) DATE	Δ	PERFORMED UNDER SUBCONTRACT(S)			TI AWARD 42) ESTIMATED COMPLETION	o 8
		SECTION III -	SECTION III - CERTIFICATION							
7 CERTIFICATION OF REPORT BY CONTRACTORSUBCONTRACTOR		,	(Not required If	Small Business or	Non-Profit o	Non-Profit organization). (X appropriate box)	efe Box)			
A. NAME OF AUTHORIZED CONTRACTORISUBCONTRACTOR OFFICIAL (LAXLEINE, M.)	First, Mg		I certify that the report procedures have been	I comity that the reporting party has proceedures for prompt identification and timely disciouse of "Subject inventions," that such proceedures have been tollowed and that all "Subject inventions" have been reported.	or prompt identification a act inventions" have been	nd timely disclosure of "S reported.	Subject Inventions,"	hat such		
e, muc			SIGNATURE						DATE SIGNED	
:										

Department of Transportation

Pt. 1253, Subpt. 1253.3, App.

DD FORM 882 INSTRUCTIONS

14, 1999]

This form is for use in submitting INTERIM and FINAL Invention reports to the Contracting Officer and for use in the prompt notification of the award of subcontracts containing a "Patient Rights" clause. If the form does not afford sufficient space, multiple forms may be used or plain sheets of paper with proper identification of information by Item Number may be attached.

An INTERIM report is due at least every 12 months from the date of contract award and shall include (a.a. Ising of "Subject Intentions" during the reporting period. (b) a certification of compliance with required invention identification and disclosure procedures together with a certification of reporting of all "Subject Inventions," and (c) are required information not previously reported on subcontracts awarded during the reporting period and containing a "Patent Rights" clause.

A FINAL report is due within 6 months if contractor is a small business firm or domestic nonprofit organization and within 3 months for all others after completion of the contract work and shall include (a) a listing of all "Subject Inventions" required by the contract to be reported, and (b) any required information not previously reported on subcontracts awarded during the course of or under the contract and containing a "Patent Rights"

While the form may be used for simultaneously reporting inventions and subcontracts, it may also be used for reporting, promptly after award, subcontracts containing a "Patent Rights" clause.

Dates shall be entered where indicated in certain items on this form and shall be entered in four or six digit numbers in the order of year and month (YYMM) or year, month and day (YYMMDD). Example: April 1986 should be entered as 8604 and April 15. 1986 should be entered as 860418.

Item 1a. Self-explanatory

Self-explanatory. Item 1b. 5

ttem 2a. If "same" as Item 1a, so state. Item Ic. If "same" as Item 2c. so state. Item 1d. Self-explanatory.

tem 2b. Self-explanatory.

contract (DFARS ě Procurement Instrument Identification (PII) number Item 2c. 4.7003).

Item 2d thru Se. Self-explanatory.

Item 5f. The name and address of the employer of each inventor not employed by the contractor or subcontractor is needed because the Government's rights in a reported invention may not be determined solely by the terms of the "Patent Rights" clause in the

Example 2: If an invention is made under a contract by joint inventors and one of the inventors is a Covernment employee, the Government's rights in such an inventor's interest in the invention will also be determined under Executive Order 10096, except where the contractor is a small business or morporfit organization, in which case the provisions of Section 202(e) of P.L. 96-517 will apply. Example 1. If an invention is made by a Government employee assigned to work with a contractor, the Government rights in such an invention will be determined under Executive Order 10096.

Item 5g(1). Self-explanatory.

Item 5g(2). Self-explanatory with the exception that the contractor or subcontractor shall indicate. If thown at the time of this report, whether applications will be filed under either that beam Cooperation Teaty (PCT) or the Furopean Patent Convention (EPC). If such is known, the letters PCT or EPC shall be entered after each listed country.

Item 6a. Self-explanatory.

Item 6b. Self-explanatory.

Item 6c. Self-explanatory.

Item 6d. Patents Rights Clauses are located in FAR 52.227.

Item 6e thru 7b. Self-explanatory. Item 7c.

small business firms and domestic nonprofit Certification not required by

[59 FR 40299, Aug. 8, 1994, as amended at 60 FR 55802, Nov. 3, 1995; 61 FR 393, Jan. 5, 1996; 61 FR 50251, Sept. 25, 1996; 62 FR 26421, May 14, 1997; 62 FR 67752, Dec. 30, 1997; 64 FR 2445. Jan. 14, 1999 [59 FR 40299, Aug. 8, 1994, as amended at 60 FR 55802, Nov. 3, 1995; 61 FR 393, Jan. 5, 1996; 61 FR 50251, Sept. 25, 1996; 62 FR 26421, May 14, 1997; 62 FR 67752, Dec. 30, 1997; 64 FR 2445, Jan.

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CHAPTER 13—DEPARTMENT OF COMMERCE

(Parts 1300 to 1399)

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